

07-26-01

PTO/SB/05 (03-01)

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07/24/01
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		SP-1093.2	PRO S. 1093.2
First Inventor		Wong, et al.	
Title	Ultra Pure Vegetable Protein Materials		
Express Mail Label No.		EL012595390US	

JCG 71 U.S. PRO
1/9/2010

07/24/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | | |
|--|--|---|
| 1. | <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i> |
| 2. | <input type="checkbox"/> | Applicant claims small entity status.
See 37 CFR 1.27. |
| 3. | <input checked="" type="checkbox"/> | Specification
<i>(preferred arrangement set forth below)</i> |
| | | [Total Pages
29] |
| <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure | | |
| 4. | <input type="checkbox"/> 0 | Drawing(s) (35 U.S.C. 113) [Total Sheets
0] |
| 5. | Oath or Declaration [Total Sheets
<input type="checkbox"/>] | |
| a. | <input type="checkbox"/> | Newly executed (original or copy) |
| b. | <input type="checkbox"/> | Copy from a prior application (37 CFR 1.63 (d))
<i>(for continuation/divisional with Box 18 completed)</i> |
| i. | <input type="checkbox"/> | DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). |
| 6. | <input checked="" type="checkbox"/> | Application Data Sheet. See 37 CFR 1.76 |

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. CD-ROM or CD-R (2 copies); or

ii. paper

c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of
Attorney

11. English Translation Document (*if applicable*)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. Notification of Filing or Continuing Divisional or
Continued Prosecution Application, Added Pages For
Application Transmittal Where Benefit of Prior U.S.
Application(s) Claimed.

18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

X Continuation-in-part (CIP)

of prior application No.: 08/996,976

Prior application information

Examiner Ware.D

Group Art Unit: 1651

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/>	Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or	<input checked="" type="checkbox"/>	Correspondence address below
Name	RICHARD B. TAYLOR				
Address	P. O. BOX 88940				
City	ST. LOUIS	State	MO	Zip Code	63188
Country	USA	Telephone	314-982-3004	Fax	314-982-2424

Name (Print/type)	RICHARD B. TAYLOR	Registration No. (Attorney/Agent)	37248
Signature		Date	7/24/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$750.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Wong, et al.
Examiner Name	Ware
Group Art Unit	1651
Attorney Docket No.	SP-1093.2

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0421
Deposit Account Name	Protein Technologies International, Inc.
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
Applicant claims small entity status See 37 CFR 1.27	

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	40.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

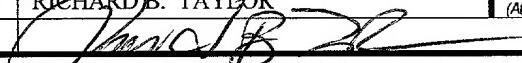
Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	RICHARD B. TAYLOR	Registration No (Attorney/Agent)	37248	Telephone	314-982-3004
Signature				Date	6/29/01

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Attorney's Docket No. SP-1093.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Wong, et al.
Prior Serial No. : 08/996,976
Filed : 12/23/97
Examiner : Ware, D.
Art Unit : 1651
For: Ultrapure Vegetable Protein Material
Assistant Commissioner for Patents
Washington, DC 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number: EL012595390US

Date of Deposit: July 24, 2001

I hereby certify that the attached: Utility Patent Application Transmittal, (2) Fee Transmittals, Notification of Filing of Continuing, Divisional or Continued Prosecution Application, Application Data Sheet 37 C.F.R. § 1.76, (2) New Application Transmittal, Added Pages For Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed, (2) Assignment Cover Sheet, Assignment, Preliminary Amendment Submitted With Continuation-In-Part Application Filed Under C.F.R. § 1.53 (b) for 08/996,976, Version With Markings to Show Changes Made, Specification, Information Disclosure Statement, Information Disclosure Statement Filed Under 37 C.F.R. § 1.97(b), Notice of References Cited, and Information Disclosure Statement By Applicant Form 1449 is/are being deposited with the United States Postal Service as "Express Mail" in an envelope addressed to:

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

Dated: 7/24/01

Melanie Schacht
Melanie Schacht

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wong et al
Application No.: 08 / 996,976 Group No.: 1651
Filed: 12/23/97 Examiner: Ware, D.
For: Ultrapure Vegetable Protein Material

Assistant Commissioner for Patents
Washington, D.C. 20231

**NOTIFICATION OF FILING OF CONTINUING,
DIVISIONAL OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

- continuation
 continuation-in-part
 divisional
 continued prosecution

application for this case

- concurrently herewith.
 on July 24, 2001

Date

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)
- with sufficient postage as first class mail. as "Express Mail Post Office to Addressee"
Mailing Label No. EL012595390US (mandatory)

TRANSMISSION

- transmitted by facsimile to the Patent and Trademark Office.

Melanie D. Schacht
Signature

Date: 7/24/01

Melanie D. Schacht

(type or print name of person certifying)

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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.